



DEPREF  
RM 307

#3

Reg. for Refund  
07-05-01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application Of:  
John William Carroll

§ Atty. Docket No.: FREA,002

Serial No.: 09/621,307

§  
§  
§  
§

Filed: July 20, 2000

§ Examiner: Unknown

Titled: **A THERAPEUTIC  
COMPOSITION**

§  
§  
§ Group Art Unit: Unknown

§

COMMISSIONER OF PATENTS  
AND TRADEMARKS  
WASHINGTON, D.C. 20231

**CERTIFICATE OF MAILING (37 CFR 1.8a)**

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date indicated below with sufficient postage as first class mail in an envelope addressed to the Commissioner of Patent and Trademarks, Washington, D.C. 20231.

Mark R. Wisner, Registration No. 30,603

September 13, 2000  
Date

**REQUEST FOR REFUND**

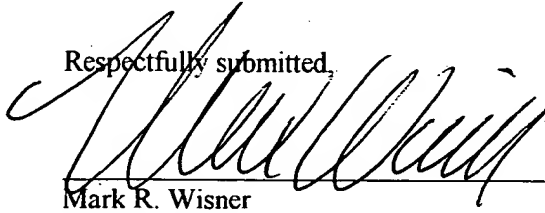
Dear Sir:

Enclosed for filing in the captioned application is an executed Verified Statement (Declaration) Claiming Small Entity Status - Independent Inventor under 37 C.F.R. §§ 1.9(f) and 1.27(b). In accordance with the provisions of 37 C.F.R. §1.28(a), Applicant respectfully requests a refund in the amount of \$621.00 (\$345.00 being half of the filing fee for a new patent application, \$198.00 being half of the fees for 22 additional claims, and \$78.00 being half of the fees for 2 additional independent claims), which is that portion of the fees timely paid in full prior to establishing status as a small entity. This request for refund is being filed within two (2) months of the date of timely payment of the full fee. It is respectfully requested that the refund be made by check, made payable and mailed to the below-signed attorney for Applicant, Mark R. Wisner.

In the event any check in the amount of any necessary fees was not properly executed, was not included with this Request and/or was insufficient in amount, or for any other reason this Request is not considered timely filed, request is hereby made for an extension of the time for the

period necessary to ensure consideration of this Request and the Commissioner is authorized to charge the Deposit Account of Wisner & Associates, Account No. 50-0965 (FREA,002) in the amount of any necessary fee.

Respectfully submitted,



Mark R. Wisner

Registration No. 30,603

Wisner & Associates

2925 Briarpark, Suite 930

Houston, TX 77042

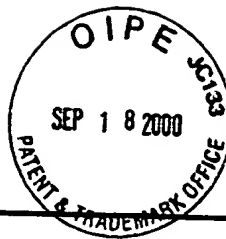
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ATTORNEY FOR APPLICANT

Date: September 13, 2000

# Wisner & Associates



INTELLECTUAL PROPERTY LAW

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File No. HILA,002

September 12, 2000

Commissioner of Patents and Trademarks  
Washington, DC 20231

Re: Application of: John William Carroll  
Serial No.: 09/621,307  
Filed: July 20, 2000  
For: **A THERAPEUTIC COMPOSITION**

Dear Sir:

Enclosed for filing in connection with the captioned application is/are the following:

Declaration and Power of Attorney  
(executed by John William Carroll, inventor);

Verified Statement (Declaration) Claiming Small Entity Status  
(37 CFR §§1.9(f) and 1.27(b) -- Independent Inventor  
(executed by John William Carroll, inventor); and

Request for Refund.

The Commissioner of Patents is hereby authorized to draw on the Deposit Account of Wisner & Associates, Account No. 50-0965 (FREA,002), if there are fees associated with this filing and/or if any required fees enclosed are insufficient, the check is unsigned, or if fees are inadvertently not enclosed. Please return the enclosed self-addressed, postage-prepaid postcard evidencing receipt of the above documents.

Respectfully submitted,

Mark R. Wisner  
Attorney for Applicant  
Registration No. 30,603

MRW/lm  
Enclosures